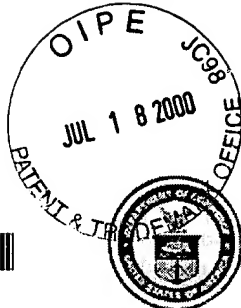


FORMALITIES LETTER



OC000000005136372

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/526,582	03/16/2000	Judith Fitzpatrick	SRX 110

Patrea L Pabst
 Arnall Golden & Gregory LLP
 2800 One Atlantic Center
 1201 West Peachtree Street
 Atlanta, GA 30309-3450

Date Mailed: 05/24/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- Small Entity Statement is missing.
- **The balance due by applicant is \$ 410.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



WRITER'S DIRECT DIAL NUMBER
(404) 870-5723

WRITER'S DIRECT DIAL FACSIMILE
(404) 873-8103

WRITER'S EMAIL ADDRESS
celia.phillips@agg.com

July 10, 2000

Judith Fitzpatrick, Ph.D.
Chief Executive Officer
Serex, Inc.
230 West Passaic Street
Maywood, NJ 07607

Re: Your Ref. No. Sx 110; Our Ref. No. SRX 110
U.S.S.N. 09/526,582 "*Method and Device for Detection of*
APO A, APO B and the Ratio Thereof in Saliva" Fitzpatrick, et al.

Dear Dr. Fitzpatrick:

Enclosed is a copy of the official Filing Receipt and grant of foreign filing license in the above-identified utility patent application. The U.S. Patent and Trademark Office has assigned U.S. Serial No. 09/526,582 to the application. The official filing date is March 16, 2000.

Very truly yours,

ARNALL GOLDEN & GREGORY, LLP

Celia M. Phillips
Legal Assistant

/cp
Enclosure

c: Jack Gemmell, Esq. (w/enclosure)

FILING RECEIPT



OC000000005144654

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/526,582	03/16/2000	1643	410	SRX 110	7	20	3

Patrea L Pabst
 Arnall Golden & Gregory LLP
 2800 One Atlantic Center
 1201 West Peachtree Street
 Atlanta, GA 30309-3450

Date Mailed: 05/30/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Judith Fitzpatrick, Tenafly, NJ ;
 Regina B. Lenda, Wesley Hills, NY ;
 Christopher L. Jones, Riverdale, NJ ;

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/124,562 03/16/1999

Foreign Applications

If Required, Foreign Filing License Granted 05/23/2000

** SMALL ENTITY **

Title

Method and device for detection of apo a, apo b and the ratio thereof in saliva

Preliminary Class

435

Data entry by : RAWLS, ROXANNE

Team : OIPE

Date: 05/30/2000

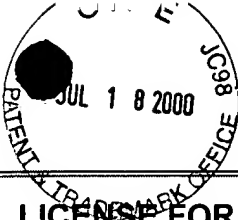
RECEIVED

JUN 05 2000

PATENT DEPT.

DOCKETED FOR _____
 BY J. STINES-HATCHER _____
 DATE 6/1/00





**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



16C1

Section 1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

AUG 08 2000

Applicants: Judith Fitzpatrick, Regina B. Lenda, and Christopher L. Jones

Serial No.: 09/526,582

Group Art Unit:

1643

1641

Filed: March 16, 2000

Examiner:

Not yet assigned

For: *METHOD AND DEVICE FOR DETECTION OF APO A, APO B AND
THE RATIO THEREOF IN SALIVA*

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE
MISSING PARTS OF NONPROVISIONAL APPLICATION**

Sir:

Responsive to the Notice to File Missing Parts of Nonprovisional Application, mailed May 24, 2000, applicants enclose copies of the following items filed May 16, 2000, in the above-identified application:

1. Transmittal of Declaration of Inventorship, Small Entity Statements, Statement under 37 C.F.R. § 3.73(b), and Request for Refund;
2. Declaration of Inventorship executed by Judith Fitzpatrick, Regina B. Lenda, and Christopher L. Jones;
3. Statement Claiming Small Entity Status (37 C.F.R. §§ 1.9(f) and 1.27(c) --- Small Business Concern) executed by assignee Serex, Inc.;

RECEIVED

AUG 08 2000

TECH CENTER 1600/2900

4. Statement Under 37 C.F.R. § 3.73(b) executed by Serex, Inc., with attached copy of the executed Assignment from Judith Fitzpatrick, Regina B. Lenda, and Christopher L. Jones to Serex, Inc.;

5. Statement Claiming Small Entity Status (37 C.F.R. §§ 1.9(f) and 1.27(c) --- Small Business Concern) executed by Nymox Pharmaceutical Corporation;

6. Check in the amount of \$65.00 for the small entity surcharge for late filing the Declaration of Inventorship; and

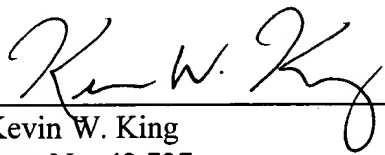
7. Returned postcard indicating receipt by the Patent Office of item Nos. 1-6 on May 19, 2000.

Applicants also enclose a copy of the Notice to File Missing Parts of Nonprovisional Application, mailed May 24, 2000. Applicants did not receive the Notice until May 30, 2000, which was after the two-month deadline for requesting a 50% refund of the filing fees in the application.

No fees are believed due at this time. However, please charge any fee due, or credit any

overpayment, in connection with this matter to Deposit Account No. 01-2507. A duplicate of this transmittal is enclosed to facilitate this process.

Respectfully submitted,



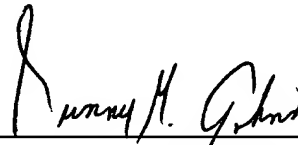
Kevin W. King
Reg. No. 42,737

Date: July 14, 2000

ARNALL GOLDEN & GREGORY, LLP
2800 One Atlantic Center
1201 West Peachtree Street
Atlanta, Georgia 30309-3450
404-873-8596
404-873-8597 (fax)

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this Response to Notice to File Missing Parts of Nonprovisional Application and Request for Refund, together with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.



Sunny G. Johns

Date: July 14, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Judith Fitzpatrick, Regina B. Lenda, and Christopher L. Jones

Serial No.: 09/526,582

Group Art Unit: 1643

Filed: March 16, 2000

Examiner: Not yet assigned



For: *METHOD AND DEVICE FOR DETECTION OF APO A, APO B AND
THE RATIO THEREOF IN SALIVA*

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE
MISSING PARTS OF NONPROVISIONAL APPLICATION**

Sir:

Responsive to the Notice to File Missing Parts of Nonprovisional Application, mailed May 24, 2000, applicants enclose copies of the following items filed May 16, 2000, in the above-identified application:

1. Transmittal of Declaration of Inventorship, Small Entity Statements, Statement under 37 C.F.R. § 3.73(b), and Request for Refund;
2. Declaration of Inventorship executed by Judith Fitzpatrick, Regina B. Lenda, and Christopher L. Jones;
3. Statement Claiming Small Entity Status (37 C.F.R. §§ 1.9(f) and 1.27(c) --- Small Business Concern) executed by assignee Serex, Inc.;

4. Statement Under 37 C.F.R. § 3.73(b) executed by Serex, Inc., with attached copy of the executed Assignment from Judith Fitzpatrick, Regina B. Lenda, and Christopher L. Jones to Serex, Inc.;

5. Statement Claiming Small Entity Status (37 C.F.R. §§ 1.9(f) and 1.27(c) --- Small Business Concern) executed by Nymox Pharmaceutical Corporation;

6. Check in the amount of \$65.00 for the small entity surcharge for late filing the Declaration of Inventorship; and

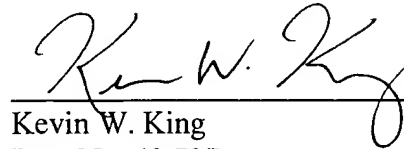
7. Returned postcard indicating receipt by the Patent Office of item Nos. 1-6 on May 19, 2000.

Applicants also enclose a copy of the Notice to File Missing Parts of Nonprovisional Application, mailed May 24, 2000. Applicants did not receive the Notice until May 30, 2000, which was after the two-month deadline for requesting a 50% refund of the filing fees in the application.

No fees are believed due at this time. However, please charge any fee due, or credit any

overpayment, in connection with this matter to Deposit Account No. 01-2507. A duplicate of this transmittal is enclosed to facilitate this process.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Kevin W. King", is written over a horizontal line.

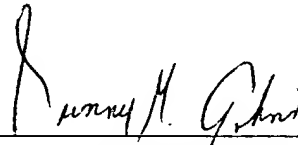
Kevin W. King
Reg. No. 42,737

Date: July 14, 2000

ARNALL GOLDEN & GREGORY, LLP
2800 One Atlantic Center
1201 West Peachtree Street
Atlanta, Georgia 30309-3450
404-873-8596
404-873-8597 (fax)

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this Response to Notice to File Missing Parts of Nonprovisional Application and Request for Refund, together with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.

A handwritten signature in black ink, appearing to read "Sunny G. Johns", is written over a horizontal line.

Sunny G. Johns

Date: July 14, 2000



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Judith Fitzpatrick, Regina B. Lenda, and Christopher L. Jones

Serial No.: 09/526,582

Group Art Unit: Not yet assigned

Filed: March 16, 2000

Examiner: Not yet assigned

For: *METHOD AND DEVICE FOR DETECTION OF APO A, APO B AND
THE RATIO THEREOF IN SALIVA*

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

**TRANSMITTAL OF DECLARATION OF
INVENTORSHIP, SMALL ENTITY STATEMENTS, AND
STATEMENTS UNDER 37 C.F.R. § 3.73(b) AND REQUEST FOR REFUND**

Sir:

Applicants enclose the following items for filing in the above-identified application:

1. Declaration of Inventorship executed by Judith Fitzpatrick, Regina B. Lenda, and Christopher L. Jones;
2. Statement Claiming Small Entity Status (37 C.F.R. §§ 1.9(f) and 1.27(c) --- Small Business Concern) executed by assignee Serex, Inc.;
3. Statement Under 37 C.F.R. § 3.73(b) executed by Serex, Inc., with attached copy of the executed Assignment from Judith Fitzpatrick, Regina B. Lenda, and Christopher L. Jones to Serex, Inc.;
4. Statement Claiming Small Entity Status (37 C.F.R. §§ 1.9(f) and 1.27(c) --- Small Business Concern) executed by licensee Nymox Pharmaceutical Corporation; and

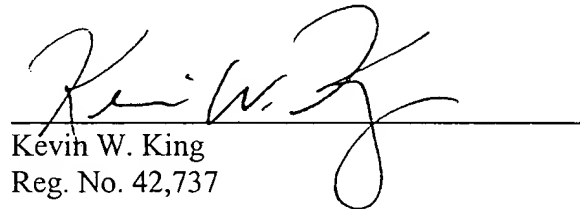
5. Check in the amount of \$65.00 for the small entity surcharge for late filing the Declaration of Inventorship.

Applicants have not received the Notice to File Missing Parts of Nonprovisional Application.

Applicants respectfully request a 50% refund of the \$690.00 filing fee in the application, a total of \$345.00, pursuant to 37 C.F.R. § 1.28.

Please charge any other fees due, or credit any overpayment, in connection with this matter to Deposit Account No. 01-2507. A duplicate of this transmittal is enclosed to facilitate this process.

Respectfully submitted,



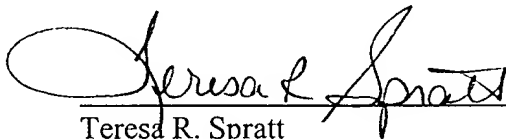
Kevin W. King
Reg. No. 42,737

Date: May 16, 2000

ARNALL GOLDEN & GREGORY, LLP
2800 One Atlantic Center
1201 West Peachtree Street
Atlanta, Georgia 30309-3450
404-873-8596
404-873-8597 (fax)

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this Response to Notice to File Missing Parts of Nonprovisional Application and Request for Refund, together with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.


Teresa R. Spratt

Date: May 16, 2000